



PTO/SB/92 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on November 13, 2003
Date

RECEIVED
DEC 01 2003
TECH CENTER 1600/2900

Lisa Z. Turner
Signature

LISA Z. TURNER

Type or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

09/887194
BB1449 US NA
RESPONSE - 16 PAGES
COPY OF APPLICATION DATA SHEET ORIGINALLY FILED WITH ABOVE CASE
COPY OF STAMPED POSTCARD
PETITION FOR EXTENSION OF TIME
FEE SHEET
POSTCARD

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



RECEIVED
DEC 01 2003
TECH CENTER 1800/2000

The Stamp of the Patent Office hereon acknowledges the receipt, on the date indicated, of the following:

Case No.: BB1449 US NA Application No.: 60/213961

Inventor(s): GLASSMAN ET AL.

Title: RECOMBINANT CONSTRUCTS AND THEIR USE IN REDUCING GENE EXPRESSION

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> Application | <input checked="" type="checkbox"/> ADS | <input type="checkbox"/> Request for Extension of Time |
| Pages of Spec: <u>61</u> (incl. Abstract & Claims) | | <input type="checkbox"/> Amendment <input type="checkbox"/> Preliminary |
| Pages of Claims: <u>8</u> | | Response to: |
| <input checked="" type="checkbox"/> Utility <input type="checkbox"/> CIP <input type="checkbox"/> RCE/CPA <input type="checkbox"/> Div. | | <input type="checkbox"/> Office Action |
| <input type="checkbox"/> Provisional <input type="checkbox"/> Provisional Coversheet | | <input type="checkbox"/> Notice to File Missing Parts |
| <input checked="" type="checkbox"/> Declaration - unsigned <input type="checkbox"/> Issue Fee | | |
| <input type="checkbox"/> Assignment | | <input checked="" type="checkbox"/> Other Seq. lst. 13 pgs. & disk |
| <input checked="" type="checkbox"/> Drawings 2 sheets <input type="checkbox"/> Formal | | <input checked="" type="checkbox"/> Fee Transmittal Sheet |
| <input type="checkbox"/> IDS <input type="checkbox"/> Supplemental | | <input type="checkbox"/> COM <input checked="" type="checkbox"/> Express |

Attorney: Lynne M. Christenbury

11002 U.S. TO
09/08/194
06/22/01

ISO NOTED



PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**420.00**

Complete if Known

Application Number 09/887194
Filing Date June 22, 2001
First Named Inventor Kimberly F. Glassman Et
Examiner Name KAREN A. LACOURCIERE
Art Unit 1635
Attorney Docket No. BB1449 US NA

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

04-1928

E. I. du Pont de Nemours and Company

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text"/> X	18	<input type="text"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text"/> X	86	<input type="text"/>
Multiple Dependent	<input type="text"/>	YES		290.00	<input type="text"/>

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)**0.00**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	420.00
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**420.00**

SUBMITTED BY

Name (Print/Type) Lynne M. Christenbury

Registration No.
(Attorney/Agent)

30,971

(Complete if applicable)

Telephone (302) 992-5481

Signature

Lynne M. Christenbury

Date

November 13, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.